

**ELECTRONIC DEPOSIT ATTESTATION
FOR NMS SECURITIES**

To whom it may concern:

I, the undersigned, submit this form to you in order to request authorization to deposit and sell the shares set forth below (the "Shares").

Description of the Shares:

(ISSUER)	(SHARE TYPE: COMMON, PREFERRED, ETC.)	(CUSIP)	(QUANTITY)

Please check all that apply:

- ☐ The Shares were originally issued as restricted as of _____ (date), and the restriction has not been removed.
- ☐ The Shares were originally issued as restricted as of _____ (date), and the restriction has been removed.
- ☐ The Shares were purchased directly from the issuer.
- ☐ The Shares were purchased from a third party as of _____ (date).
- ☐ The Shares have been registered in a registration statement _____ (file number) that became effective _____ (date). If you checked the box above, please describe the type of registration statement:

- ☐ Registered Direct Offering
- ☐ Resale Registration Statement
- ☐ Follow-on Registration Statement
- ☐ S-8 Registration Statement
- ☐ Other: _____

- ☐ The Shares have been derived from another security.

If you checked the box above, please describe how the Shares were derived:

- ☐ Promissory Note Conversion
- ☐ Preferred Share Conversion
- ☐ Warrant Exercise
- ☐ Corporate Action (Stock Dividend or Split)
- ☐ Other _____

The undersigned represents that the information furnished above is correct and complete to the best of his or her knowledge. In the event that any of the information furnished is found to be no longer accurate or complete, at the time the undersigned seeks to sell the securities, the undersigned will promptly notify Axos Clearing LLC in writing prior to such sale.

Account Holder Signature(s): _____	Account Number: _____
Printed Account Name(s): _____	Date: _____