

| Fee Description | Total Fee | Fee Basis |
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| Overnight Delivery (UPS) | \$50.00 | Per Item |
| International Delivery (UPS) | \$110.00 | Per Item |
| DWAC Charge | \$125.00 | Per Item |
| DWAC Reject Charges | As Incurred | Per Item |
| Document Production | \$250.00 | Per Item |
| Client Foreign Financial Intermediary (Omnibus) Due Diligence Review Fee | \$1,000.00 | \$200 / Hr Over 5 Hrs; Per Account |
| Safekeeping / Reconciliation / Vault Fee | \$85.00 | Per Year (Charged Quarterly) |
| Re-registration of Stock or Alternative Investment | \$50.00 | Costs |
| Clearance or Transfer of Restricted Stock | \$150.00 | Costs |
| Certificate Request DTC | \$1,000.00 | Per Certificate |
| Rush Withdrawal DTC | \$175.00 | Additional |
| Penny Stock Re-registration w/Agent (Domestic) | \$300.00 | Per Certificate |
| Penny stock re-registration w/Agent (Canadian) | \$800.00 | Per Certificate |
| Rejected Deposits DTC or Agent | \$215.00 | Per Certificate |
| Transfer Agent Charges | As Incurred | Per Item |
| Long Positions (Sub Penny Book Entry) | \$0.0000006 | Per Share, Monthly Basis |
| COR Assisted Dividend Posting (Asset Not Held at COR) | \$15.00 | Per Item |
| IRA Annual Custodial Fee | \$40.00 | Per Plan |
| Transfers (Including Transfer of Roth To/From Traditional IRA) | \$95.00 | Per Transfer |
| Limited Partnerships/AIP | \$100.00 | Per Position Annually Charge Quarterly |
| Termination Fee | \$75.00 | Per Plan |
| Certificate Deposit | \$25.00 | Per Position/Per Customer |
| Cashless Stock Options | \$35 min | 1% Of Proceeds Plus Costs ; Per Item |
| Reg.-T Extension | \$35.00 | Per Extension |
| TOD Setup fee | \$50.00 | |
| TOD Distributions | \$225.00 | Per Distribution |
| Prepayment of Unsettled Funds | \$30.00 | Interest |
| ACAT – Non-ACAT (Full or Partial) Transfer (Transfer Out) Plus Free Delivery Charge for Each Position. | \$90.00 | Per Account |
| Fee Description | Total Fee | Fee Basis |
| Voluntary Tender/Exchange Offer/Bond Puts | \$50.00 | Per Election |
| Bond Redemption/Conversion (Physical Only) | \$50.00 | Plus Costs |
| Worthless Securities Processing Charges | \$20.00 | Per Security Processed |
| Mandatory Reorg Corporate Actions | \$25.00 | Per Account |
| Dividend Reinvest | \$1.00 | Per Dividend Reinvestment Entry |
| Cash Dividend Outgoing Check | \$10.00 | Per Check |
| Cash Dividend Outgoing ACH | \$0.25 | Per ACH |
| Manual Options Exercise Request | \$25.00 | Per Request |
| Handling (Charge to Customer) | \$20.00 | Per Trade |
| Physical Settlements | \$150.00 | Plus Costs |
| Free Deliveries DTC | \$35.00 | Per Security |
| NY Window / Physical Delivery | \$70.00 | Per Security |
| Trades Settled Same-Day (Cash Trades) | \$30.00 | Per Trade |
| Transfer In and Out/Physical Certificate (Foreign) | \$175.00 | Costs |
| Foreign Custody Fee | 2.5 bps Annually **Subject to Market Change | Per Security; Charged Monthly |
| Stop Payments | \$25.00 | Per Check |
| Returned Checks | \$40.00 | Per Check |
| Photocopies of Checks | \$5.00 | Per Check |
| Returned ACH Items | \$25.00 | Per Return |
| Wire, Domestic (Outgoing) | \$40.00 | Per Wire |
| Wire, International (Outgoing) | \$90.00 | Per Wire |
| Wire Research Requests / Service Messages | \$50.00 | Per Request |
| Foreign Currency Conversions | \$50.00 | Per Conversion |
| Foreign Currency Transaction Postings | \$25.00 | Per Posting |
| Check Writing Stop Pays | \$25.00 | Per Stop |
| CCPA Check writing and Platinum Card | \$60.00 | Per Year |
| Fee Description | Total Fee | Fee Basis |
| Monthly Statement Charge-Paper | \$3.95 | Per Statement |
| Monthly Non Statement Charge-Paper | \$3.95 | Per Delivery |
| Monthly Confirm Charge-Paper | \$2.99 | Per Confirm |
| Monthly Statement Charge-Electronic | \$0.50 | Per Statement |
| Monthly Confirm Charge-Electronic | \$0.50 | Per Confirm |
| Medallion Guarantee | \$250.00 | |
| Statement Reproduction | \$25.00 | or \$150.00 for Year |
| Heightened Supervision | \$100.00 | per Month |
| Review & Processing Fee (Limited Partnerships/Private Assets) | \$75.00 | |
| Rush Processing Fee (72-hour)(LP/Private Assets) | \$50.00 | |
| Additional Outside Attorney Review | | Fee to be discussed on a case by case basis. |
| Detailed Legal Review | \$200.00 | Regular Level Review Fee |
| Restricted Securities Clearance | \$250.00 | |
| Updated Legal Review | \$100.00 | |
| Regular Legal Review Fee | \$125.00 | |
| Cancelled Trades | \$15.00 | |
| Regulation T Extension | \$20.00 | |
| Foreign security trades executed | \$125.00 | |
| DTC Out of Network Delivery | \$250.00 | |
| Legal Transfer | \$150.00 | |
| Establishment Fee | \$50.00 | |
| Change of Beneficiary | \$25.00 | |
| Termination Fee | \$100.00 | |
| Journal Fee | \$50.00 | |

**COR Clearing is now charging for printed statements and confirms. If you wish to opt into electronic delivery of statements and confirmations instead of paper copies to reduce your fee, please visit www.jhdbarbie.com/estatements for details.